

FINSA Client information

Based on the legal requirements of Art. 8ff. of the Financial Services Act (FINSA), we would like to supply you with this information sheet which provides an overview of LABHA Investment Advisors S.A. (hereinafter referred to as the «financial institution») and its services.

A. Company information

Address

LABHA Investment Advisors S.A.
Seefeldstr. 301
CH-8008 Zürich
Schweiz
Telefon: +41 43 497 2688
Website: www.labha.com

Founded in 2005, LABHA Investment Advisors S.A. has since been successfully active in the field of individual asset management and fund management. Since 2010, it has been directly subordinated to the Swiss Financial Market Supervisory Authority (FINMA).

Supervisory authority and audit firm

We are licensed and supervised by the Swiss Financial Market Supervisory Authority FINMA for our activities as managers of collective assets pursuant to the Federal Act on Financial Institutions.

Eidgenössische Finanzmarktaufsicht FINMA
Laupenstrasse 27
3003 Bern
Phone: +41 31 327 91 00
Email: info@finma.ch
Website: www.finma.ch

Ombudsman

LABHA Investment Advisors S.A. is affiliated with the independent ombudsman FINOS, which is recognized by the Federal Department of Finance. Disputes concerning legal claims between the customer and the financial services provider should be settled by an ombudsman's office, if possible, within the framework of a mediation procedure.

Finanzombudsstelle Schweiz (FINOS)
Talstrasse 20
CH-8001 Zürich
Schweiz
T +41 - 44 552 08 00
E-Mail info@finos.ch
Website: www.finos.ch

B. Information on the offered financial services

LABHA Investment Advisors S.A. provides asset management services to its clients. A portfolio-related asset management mandate is understood to mean the management of a set of assets of the client. In this context, the client delegates to LABHA Investment Advisors S.A. the decision on the investments (based on a limited power of attorney), whereby this is made in accordance with the agreed investment strategy.

For detailed information on the material rights and obligations arising from an investment advisory or asset management agreement, please refer to the relevant agreements between LABHA Investment Advisors S.A. and its clients.

The Global Investment Atyartha Fund is available especially to clients with smaller assets, but also to complement other mandates. For information on the general risks characteristics and functioning of the investment funds, we provide you with the corresponding prospectuses.

C. Client segmentation

Financial service providers are required to classify their customers into a client segmentation as legally established. The Financial Services Act provides for «retail customers», «professional customers» and «institutional customers» segments. Pursuant to Art. 4 para. 7 FinSA, LABHA Investment Advisors S.A. treats all its clients in accordance with the written agreement between LABHA Investment Advisors S.A. and its clients.

D. Information on risks and costs

General risks associated with financial instruments transactions

The investment advisory and asset management services involve financial risks. LABHA Investment Advisors S.A. provides all clients with the «Risks associated with Financial Instruments Transactions» brochure prior to the execution of the contract. This brochure can also be found at www.swissbanking.org.

Risks associated with the offered services

For a description of the various risks that may arise from the investment strategy for clients' assets, please refer to the relevant Investment advisory or asset management agreements.

Information on costs

A fee is charged for the services rendered, which is usually calculated on the assets under management and/or on a performance basis. For more detailed information, please refer to the relevant Investment advisory or asset management agreements.

E. Information about relationships with third parties

In connection with the financial services offered by LABHA Investment Advisors S.A., there are no economic ties to third parties. LABHA Investment Advisors S.A. does not accept retrocession payments and maintains its independence in this regard.

F. Information on the market offer considered

LABHA Investment Advisors S.A. basically follows an «open universe approach» and tries to make the best possible choice for the client when selecting financial instruments. The financial institution's own collective investments can – where appropriate – be used in the asset management mandates or recommended as part of investment advice.

Disclosures

The content of this site has been prepared with the utmost care and is subject to change without notice. LABHA Investment Advisors S.A. accepts no liability for any inadvertent errors or errors arising from other circumstances in this document.

If you have any questions regarding this document, please contact LABHA Investment Advisors S.A.

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